

FEB 10 2006

**HAMRE, SCHUMANN,  
MUELLER & LARSON, P.C.**

AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION      February 10, 2006

TO:    Commissioner for Patents  
      PO Box 1450  
      Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.1642USWO

TELEPHONE: (612) 455.3800

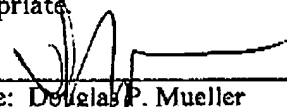
Total pages, including cover letter:      4

PTO FAX NUMBER: 571.273.8300

If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

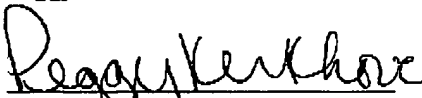
Title of Document:      **Request for Corrected Filing Receipt**Applicant:    HABUTA, et al.  
Serial No.:    10/530,426  
App. Filed:    April 5, 2005  
Group Art No.: 2655

Please charge any additional fees or credit overpayment to Deposit Account No. 50-5478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By:   
Name: Douglas P. Mueller  
Reg. No.: 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Peggy J. Kerkhove

  
SignatureFebruary 10, 2006  
Date225 SOUTH SIXTH STREET · SUITE 2650 · MINNEAPOLIS · MN 55402  
TEL 612.455.3800 · FAX 612.455.3801  
WWW.HSML.COM · MAIL@HSML.COM

RECEIVED  
CENTRAL FAX CENTER

FEB 10 2006

S/N 10/530,426

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	HABUTA	Examiner:	Unknown
Serial No.:	10/530,426	Group Art Unit:	2655
Filed:	April 5, 2005	Docket No.:	10873.1642USWO
Title:	OPTICAL INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING THE SAME		

CERTIFICATE UNDER 37 CFR 1.6(c):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on February 10, 2006.

By: 

Name: Peggy J. Karkhove

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination's Customer Service Center  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections.

The title is incorrect.

Please replace "recoding" with --recording--.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
LARSON, P.C.  
P.O. Box 2902-0902  
Minneapolis, MN 55402-0903  
(612) 455-3800

Dated: February 10, 2006

By: 

Douglas P. Mueller  
Reg. No. 30,300

DPM/pjk

**53148**

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/530,428	04/05/2005	2855	1000	10873-1642USWO	4	11	2

23552  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

CONFIRMATION NO. 6696  
FILING RECEIPT  
\*OC000000016889477\*  
\*OC000000016889477\*

Date Mailed: 09/07/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Haruhiko Habuta, Osaka-shi, JAPAN;  
Ken'ichi Nagata, Nishinomiya-shi, JAPAN;  
Yoshitaka Sakaue, Hirakata-shi, JAPAN;  
Hideo Kusada, Osaka-shi, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 23552.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/12937 10/09/2003

## Foreign Applications

JAPAN 2002-297291 10/10/2002

Projected Publication Date: 12/08/2005

Non-Publication Request: No

Early Publication Request: No

## Title

Optical Information [recoding] medium and manufacturing method thereof

Recording

**Preliminary Class**

369

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.